

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant(s): Norihito TAKEUCHI; Fumikazu ISOGAI; Yasuya MITA; and Eiki NIIDA  
Serial No.: TBA Group Art Unit: TBA  
Filed: Concurrently Herewith Examiner: TBA  
For: OPTICAL ELEMENT, PLANAR LIGHTING UNIT AND LIQUID CRYSTAL DISPLAY UNIT

**INFORMATION DISCLOSURE STATEMENT**

Mail Stop Patent Application  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Pursuant to Rule 56, applicant hereby calls the attention of the Patent Office to the references listed on the attached Form PTO 1449. Copy(ies) of these references ☒ are attached ☐ were filed in related application U.S. Serial No(s) \_\_\_\_\_, filed \_\_\_\_\_, respectively.

- ☐ This document is being filed within three (3) months of the filing date of the application
- ☐ A check for the requisite fee of \$180 is enclosed.
- ☒ This document is being concurrently filed with the above-identified application
- ☐ This document is being concurrently filed with an Request for Continued Examination (RCE)
- ☐ This document is being filed prior to a first Office Action
- ☐ This document is accompanied by a Search Report/Communication cited in a corresponding PCT or foreign counterpart application.
- ☒ The Commissioner is hereby authorized to charge any additional fees which may be required for this Information Disclosure Statement, or credit any overpayment to Deposit Account No. 13-4500, Order No. 5095-4075. A DUPLICATE COPY OF THIS SHEET IS ATTACHED.

Respectfully submitted,  
MORGAN & FINNEGAN, L.L.P.

Dated: December 3, 2003

By: \_\_\_\_\_

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<b>FORM PTO-1449</b>  <b>INFORMATION DISCLOSURE CITATION</b>	Attorney Docket: 5095-4075	Serial No.: TBA
	Applicant: Norihito TAKEUCHI; Fumikazu ISOGAI; Yasuya MITA; and Eiki NIIDA	
	Filing Date: Herewith	Group Art Unit: TBA

### U.S. PATENT DOCUMENTS

Examiner Initial	Patent Number	Publication Date	Name	Class	Sub-Class	Filing Date

### FOREIGN PATENT DOCUMENTS

Examiner Initial	Patent Number	Publication Date	Country	Class	Sub-Class	Translation
	2000-148032	05.26.2000	JAPAN	G 09 F	9 / 00	Accompanied by its English Abstract and relevant English translation thereof
	2002-107515	04.10.2002	JAPAN	G 02 B	5 / 02	Accompanied by its English Abstract
						<input type="checkbox"/> Yes <input type="checkbox"/> No
						<input type="checkbox"/> Yes <input type="checkbox"/> No
						<input type="checkbox"/> Yes <input type="checkbox"/> No
						<input type="checkbox"/> Yes <input type="checkbox"/> No
						<input type="checkbox"/> Yes <input type="checkbox"/> No
						<input type="checkbox"/> Yes <input type="checkbox"/> No
						<input type="checkbox"/> Yes <input type="checkbox"/> No

### OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Papers, etc.)


Examiner	Date Considered
<b>EXAMINER:</b> Initial if reference considered, whether or not citation is in conformance with MPEP §609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.	